



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

2134 IFW

Application of: **Ault et al.**

Serial No.: **09/895,978**

Filed: **June 29, 2001**

For: **User Registry Adapter Framework**

**35525**

PATENT TRADEMARK OFFICE  
CUSTOMER NUMBER

§ Group Art Unit: **2134**  
§  
§ Examiner: **Poltorak, Piotr**  
§  
§ Attorney Docket No.: **AUS920010373US1**  
§

Certificate of Mailing Under 37 C.F.R. § 1.8(a)

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By:

*Louise Fay*  
Louise Fay

**TRANSMITTAL DOCUMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:  
ENCLOSED HERewith:

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- Form PTO-1449;
- References AA-AC; and
- Our return postcard.

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Respectfully submitted,

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

**Ault et al.**

Serial No: **09/895,978**

Filed: **June 29, 2001**

Title: **User Registry Adapter Framework**

Group Art Unit: **2134**

Intellectual Property  
Law Department  
International Business  
Machines Corporation  
11400 Burnet Road  
Austin, Texas 78758

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 C.F.R. 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 C.F.R. 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56 (b) exists.

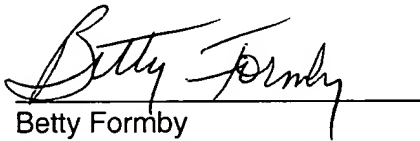
The patents and/or publications submitted herewith are set forth on the attached form, PTO-1449.

This Information Disclosure Statement is being filed after the mailing date of a final action or a notice of allowance, on or before the payment of the issue fee.

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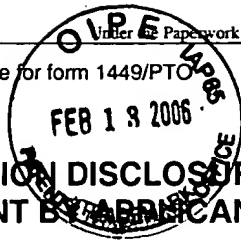
Respectfully submitted,

A handwritten signature in cursive script, reading "Betty Formby", is written over a horizontal line.

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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**



**Complete if Known**

Application Number	09/895,978
Filing Date	June 29, 2001
First Named Inventor	Ault et al.
Examiner Name	Poltorak, Piotr
Art Unit	2134
Attorney Docket No.	AUS920010373US1

Examiner Initials	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

**FOREIGN PATENT DOCUMENTS**

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**NON PATENT LITERATURE**

Examiner Initial	Include name of author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
AA	Zhang et al., "An Integrated Approach for Database Security and Fault Tolerance", Proceedings of the International Conference on Information Technology: Coding and Computing, 2004, pp. 762-766.
AB	Zhang et al., "An Efficient Authentication Scheme with Fault Tolerance for Database Systems", Proceedings of the Third International Conference on Information Technology and Applications, 2005, pp. 448-453.
AC	Mohan, "Privacy and Authentication Protocols for PCS", IEEE Personal Communications, Vol. 3, Issue 5, October 1996, pp. 34-38.

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